

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10599107
	Filing Date		2006-09-19
	First Named Inventor	George H. Tagawa	
	Art Unit		
	Examiner Name		
	Attorney Docket Number	TGI-Shifter-USNP	

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	D272726		1984-02-21	Kaneko	
	2	D278323		1995-04-09	Blackmore, Jr.	
	3	D419913		2000-02-01	Thomas et al.	
	4	3331155		1967-07-18	Chancellor	
	5	3386608		1968-06-04	Diller	
	6	3446164		1969-05-27	Huang et al.	
	7	3447261		1969-06-03	Hundt	
	8	3517629		1970-06-30	Bridges et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10599107
Filing Date		2006-09-19
First Named Inventor	George H.Tagawa	
Art Unit		
Examiner Name		
Attorney Docket Number	TGI-Shifter-USNP	

9	3524419		1970-08-18	Middleton	
10	3561158		1971-02-09	Marcan	
11	3571971		1971-03-23	Boersma	
12	3712252		1973-01-23	Huang	
13	3799078		1974-03-26	Blackmore, et al.	
14	3820480		1974-06-28	Blackmore, et al.	
15	3903643		1975-09-09	Blackmore, et al.	
16	3949523		1976-04-13	Lehtipuu	
17	4050521		1977-09-27	Plant, et al.	
18	4106414		1978-08-15	Vastag	
19	4120692		1978-10-17	Plant, et al.	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10599107
Filing Date		2006-09-19
First Named Inventor	George H.Tagawa	
Art Unit		
Examiner Name		
Attorney Docket Number	TGI-Shifter-USNP	

20	4197674		1980-04-15	Blackmore, Jr.	
21	4244308		1981-01-13	Vince	
22	4248014		1981-02-03	Williams	
23	4389814		1983-06-28	Andreason, et al.	
24	4408549		1983-10-11	Qvarnstrom	
25	4481893		1984-11-13	Qvarnstrom	
26	4616578		1986-10-14	Talbott	
27	4644880		1987-02-24	Branch	
28	4910146		1990-03-20	Tur-Kaspa, et al.	
29	4947579		1990-08-14	Harrison, et al.	
30	4947582		1990-08-14	Vesser	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10599107
Filing Date		2006-09-19
First Named Inventor	George H.Tagawa	
Art Unit		
Examiner Name		
Attorney Docket Number	TGI-Shifter-USNP	

31	5048434		1991-09-17	Forster, et al.	
32	5215550		1993-06-01	Tesch, Jr., et al.	
33	5225345		1993-07-06	Suzuki, et al.	
34	5247761		1993-09-28	Miles, et al.	
35	5257889		1993-11-02	Suzuki, et al.	
36	5320649		1994-06-14	Hollad	
37	5365693		1994-11-22	van Wingerden, et al.	
38	5425202		1995-60-20	Mekler	
39	5557881		1996-09-24	Bouldin, et al.	
40	5644999		1997-07-08	Williams	
41	5779048		1998-07-14	Dunn	

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number		10599107
Filing Date		2006-09-19
First Named Inventor	George H.Tagawa	
Art Unit		
Examiner Name		
Attorney Docket Number	TGI-Shifter-USNP	

42	5779049		1998-07-14	Werby, et al.	
43	5868086		1999-02-09	Williams	
44	5871102		1999-02-16	Lambert	
45	5935841		1999-08-10	Trinci, et al.	
46	5979111		1999-11-09	Brown, et al.	
47	6029425		2000-02-29	Dunn	
48	6085462		2000-07-11	Thomas	
49	6385903		2002-05-14	Diller, et al.	
50	5967739		1999-10-19	Bennison	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

U.S.PATENT APPLICATION PUBLICATIONS

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
-------------------	---------	--------------------	------------------------	------------------	---	--

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10599107
Filing Date		2006-09-19
First Named Inventor	George H. Tagawa	
Art Unit		
Examiner Name		
Attorney Docket Number	TGI-Shifter-USNP	

1					
---	--	--	--	--	--

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

FOREIGN PATENT DOCUMENTS

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

NON-PATENT LITERATURE DOCUMENTS

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button. [Add](#)

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10599107
Filing Date	2006-09-19
First Named Inventor	George H. Tagawa
Art Unit	
Examiner Name	
Attorney Docket Number	TGI-Shifter-USNP

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Alfred K. Wiedmann Jr./	Date (YYYY-MM-DD)	2004-09-20
Name/Print	Alfred K. Wiedmann Jr.	Registration Number	48,033

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.